



Rev No: 11/01

Form No: GID-18

## OFFICE OF INSURANCE AND SAFETY FIRE COMMISSIONER

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF EQUITY SECURITIES

(Filed pursuant to Section 33-14-91 of the Code of Georgia Annotated)

(Name of company in which Equity Securities are held)

(Name of person whose ownership is reported)

(Business address of such person; street, city, zone, state)

Relationship of such person to company named above. (See instruction 5)

Date of event which requires the filing of this statement (See instruction 6)

#### SECURITIES BENEFICIALLY OWNED (See instruction 7)

TITLE OF SECURITY (See instruction 8)	NATURE OF OWNERSHIP (See instruction 9)	AMOUNT OWNED beneficially (See instruction 10)

REMARKS (See instruction 11)

I, the undersigned, do solemnly swear that the within and foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me

this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

\_\_\_\_\_  
(Signature of applicant)

\_\_\_\_\_  
(Notary Public)

## INSTRUCTIONS

1. **PERSONS REQUIRED TO FILE STATEMENTS.** A statement on this form is required to be filed by every person who, at the effective date of Chapter 33-14 of the Code of Georgia Annotated, (i) is directly or indirectly the beneficial owner of more than 10 percent of any class of any equity security of a domestic stock insurer, or (ii) is a director or officer of such insurer, and every person who thereafter becomes such a beneficial owner, director or officer.
2. **WHEN STATEMENTS ARE TO BE FILED.** (a) Persons who hold any of the relationships specified in Instruction 1 are required to file a statement within 30 days after the effective date of Chapter 33-14 of the Code of Georgia Annotated. Persons who subsequently assume any of the specified relationships are required to file a statement within 10 days after assuming such relationship. (b) Statements are not deemed to have been filed with the Commissioner until they have actually been received by the Commissioner.
3. **WHERE STATEMENTS ARE TO BE FILED.** One signed copy of each statement shall be filed with the Insurance Commissioner, Office of Insurance and Safety Fire Commissioner, 2 Martin Luther King Jr. Drive, Suite 604 West Tower, Atlanta, Georgia 30334.
4. **SEPARATE STATEMENT FOR EACH COMPANY.** A separate statement shall be filed with respect to the securities of each company.
5. **RELATIONSHIP OF REPORTING PERSON TO COMPANY.** Indicate clearly the relationship of the reporting person to the company; for example, "Director," "Director and Vice President," "Beneficial Owner of more than 10 per cent of the Company's common stock," etc.
6. **DATE AS OF WHICH BENEFICIAL OWNERSHIP IS TO BE GIVEN.** The information as to beneficial ownership of securities shall be given as of the date on which the event occurred which requires the filing of a statement on this form, for example, the effective date of Chapter 33-14 of the Code of Georgia Annotated, or when the person whose ownership is reported became a director or officer of the company.
7. **CLASSES OF SECURITIES TO BE REPORTED.** Persons specified in Instruction 1 above shall include information as to their beneficial ownership of all classes of equity securities of the company.
8. **TITLE OF SECURITY.** The statement of the title of a security shall be such as clearly to identify the security even though there may be only one class; for example, "Class A Common Stock," "\$6 Convertible Preferred Stock," "5% Debentures Due 1965," etc.
9. **NATURE OF OWNERSHIP.** Under "Nature of ownership," state whether ownership of the securities is "direct" or "indirect." If the ownership is indirect, i.e., through a partnership, corporation, trust or other entity, indicate in a footnote or other appropriate manner, the name or identity of the medium through which the securities are indirectly owned. The fact that securities are held in the name of a broker or other nominee does not, of itself, constitute indirect ownership. Securities owned indirectly shall be reported on separate lines from those owned directly and also from those owned through a different type of indirect ownership.
10. **STATEMENT OF AMOUNT OWNED.** In stating the amount of securities beneficially owned, give the face amount of debt securities or the number of shares or other units of other securities. In the case of securities owned indirectly, the entire amount of securities owned by the partnership, corporation, trust or other entity, shall be stated. The person whose ownership is reported may, if he so desires, also indicate in a footnote or other appropriate manner, the extent of his interest in the partnership, corporation, trust or other entity.
11. **INCLUSION OF ADDITIONAL INFORMATION.** A statement may include any additional information or explanation deemed relevant by the person filing the statement.
12. **SIGNATURE.** If the statement is filed for a corporation, partnership, trust, etc., the name of the organization shall appear over the signature of the officer or other person authorized to sign the statement. If the statement is filed for an individual, it shall be signed by him or specifically on his behalf by a person authorized to sign for him.